

Electronic Acknowledgement Receipt

EFS ID:	3045583
Application Number:	10001900
International Application Number:	
Confirmation Number:	8995
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-073001
Receipt Date:	25-MAR-2008
Filing Date:	14-NOV-2001
Time Stamp:	09:48:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 120
RAM confirmation Number	7877
Deposit Account	061050
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
-----------------	----------------------	-----------	----------------------------------	------------------	------------------

1		reply09857-073001.pdf	1087568 8aaf81e1cb20c0c96c60595239c61a276702b9d	yes	23
Multipart Description/PDF files in .zip description					
Document Description		Start	End		
Amendment - After Non-Final Rejection		1	1		
Claims		2	8		
Applicant Arguments/Remarks Made in an Amendment		9	23		
Warnings:					
Information:					
2	Extension of Time	ext09857-073001.pdf	29024 96201956aeb3b3f4d871e05409e354b7f3ba0478	no	1
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8140 a0681cdf5e9db06851e82800c11ab423238716182	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			1124732		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					